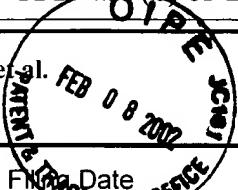


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
ROWL-9955

In Re Application Of: McClain, et al.



Serial No.
09/578,001

Final Date
05/24/2000

Examiner
Wood, E.

Group Art Unit
1755

Title:

METHOD AND APPARATUS FOR PRODUCING AN AQUEOUS PAINT COMPOSITION FROM A PLURALITY OF PREMIXED COMPOSITION

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

RECEIVED
FEB 12 2002
TC 1700

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
 ROWL-9955

In Re Application: McClain, et al.

FEB 08 2002

Serial No.

09/578,001

Filing Date

05/24/2000

Examiner

Wood, E.

Group Art Unit

1755

METHOD AND APPARATUS FOR PRODUCING AN AQUEOUS PAINT
 COMPOSITION FROM A PLURALITY OF PREMIXED COMPOSITIONS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \$180.00 is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-0513 as described below. A duplicate copy of this sheet is enclosed.
 - Charge the amount of
 - Credit any overpayment.
 - Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited 2/4/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Heather Clark

Signature of Person Mailing Correspondence

Heather Clark

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Albert L. Schmeiser
 Signature

Albert L. Schmeiser

Reg. No. 30,681

SCHMEISER, OLSEN & WATTS LLP

18 East University Drive, #101

Mesa, Arizona 85201

480/655-0073

cc:

Dated: 2-2-02

RECEIVED
 FEB 12 2002
 TC 1700



~~17558~~

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO
37 C.F.R. §§1.97-1.99**

PATENT APPLICATION

Applicant: McClain, et al.

Docket No.: ROWL-9955

FOR: METHOD AND APPARATUS FOR PRODUCING AN AQUEOUS PAINT COMPOSITION FROM A PLURALITY OF PREMIXED COMPOSITIONS

Serial No.: 09/578,001

Filed On: May 24, 2000

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.99, Applicant(s) hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO-1449. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Further, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

RECEIVED
FEB 12 2002
TC 1700

This Information Disclosure Statement submitted herewith is being filed before the mailing date of a Final Action under 37 C.F.R. §1.13, a Notice of Allowance under 37 CFR §1.311, or an Action the otherwise closes prosecution in the application, and is accompanied by the fee set forth in 37 CFR 1.17(p).

Respectfully submitted,

By 
Albert L. Schmesier
Reg. No. 30,681

Enclosures: PTO-1449
Patent copies

Date: 2-2-02